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SCULLY, SCOTT, MURPHY  
& PRESSERRE -  
Fax

To: Examiner: Harish T. Dass  
Group Art Unit: 3628  
Confirmation No.: 3901

(703) 872-9306

Fax:

From: John S. Sensny, Esq.

Pages: 19, including fax cover sheet

Phone:

Date: July 22, 2004

Re: Rosario Gennaro, et al.  
U.S. Patent Appln. No. 09/734,086  
Filing Date: December 11, 2000  
Our Docket: YOR920000598US1 (13893)

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July 15, 2004

## AMENDMENT UNDER 37 C.F.R. §1.111

Transmitted herewith are the following:

1. Amendment Transmittal Letter (in duplicate);
2. Amendment under 37 C.F.R. §1.111;
3. Certificate of Transmission by Facsimile, dated July 15, 2004 (in duplicate).

## For:

Applicant: Rosario Gennaro, et al.  
U.S. Patent Appln. No. 09/734,086  
Filing Date: December 11, 2000  
Our Docket: YOR920000598US1 (13893)

Title: "SECURE ELECTRONIC STOCKS AND OTHER TITLES AND INSTRUMENTS"

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<b>Fax</b>		
<hr/> <p><b>To:</b> Examiner: Harish T. Dass  <b>Group Art Unit:</b> 3628  <b>Confirmation No.:</b> 3801  <b>From:</b> John S. Senseny, Esq.  <b>Fax:</b> (703) 672-0306  <b>Pages:</b> 18, including fax cover sheet</p> <hr/> <p><b>Phone:</b> (609) 280-4000  <b>Date:</b> July 15, 2004  <b>Re:</b> Re: Rosario Gonnella, et al.    U.S. Patent Appln. No. 09/734,098    Filing Date: December 11, 2000    Our Docket: Y093200003588US1 (13883)  <b>CC:</b></p> <hr/> <p><b>AMENDMENT UNDER 37 C.F.R. §1.111</b></p> <hr/> <p>Transmitted herewith are the following:</p> <ol style="list-style-type: none"> <li>1. Amendment Transmittal Letter (in duplicate);</li> <li>2. Amendment under 37 C.F.R. §1.111;</li> <li>3. Certificate of Transmission by Facsimile, dated July 15, 2004 (in duplicate),</li> </ol> <p><b>For:</b>  <b>Applicant:</b> Rosario Gonnella, et al.  <b>U.S. Patent Appln. No.</b> 09/734,098  <b>Filing Date:</b> December 11, 2000  <b>Our Docket:</b> Y093200003588US1 (13883)  <b>Title:</b> "SECURE ELECTRONIC STOCKS AND OTHER TITLES AND INSTRUMENTS"</p> <p><b>CONFIDENTIALITY:</b> The documents accompanying this facsimile transmission may contain information which is either confidential or highly sensitive and is intended only for the authorized use of the individual or entity named above without right or publication or reproduction. Any unauthorized disclosure or use, as expressly set forth or established by course of dealing, All rights are reserved. If you are not the intended recipient, please notify us immediately and do not read, copy, distribute or use of the contents of this facsimile is prohibited. If you received this transmission in error, please notify us immediately by telephone to arrange for return of the document.</p> <p>If you have any problems concerning this facsimile, please call (516) 742-4343 and ask for Julie @ Ext. 509 or via e-mail at <a href="mailto:jule@scmp.com">jule@scmp.com</a></p> <hr/>		

**CERTIFICATE OF TRANSMISSION BY FACSIMILE (37 CFR 1.8)**

Applicant(s): Rossario Gennaro, et al.

Docket No.

YOR920000598US1 (13893)

Application No.  
09/734,086Filing Date  
December 11, 2000Examiner  
Harish T. DassGroup Art Unit  
3628

Invention: SECURE ELECTRONIC STOCKS AND OTHER TITLES AND INSTRUMENTS

I hereby certify that this AMENDMENT UNDER 37 C.F.R. §1.111  
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John S. Sensny

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P1B/REV02

## AMENDMENT TRANSMITTAL LETTER (Large Entity)

Applicant(s): Rosario Gennaro, et al.

Docket No.

YOR920000598US1 (13893)

Application No. 09/734,086	Filing Date December 11, 2000	Examiner Harish T. Dass	Customer No. 23389	Group Art Unit 3628	Confirmation No. 3901
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Invention: SECURE ELECTRONIC STOCKS AND OTHER TITLES AND INSTRUMENTS

COMMISSIONER FOR PATENTS:

Transmitted herewith is an amendment in the above-identified application.

The fee has been calculated and is transmitted as shown below.

## CLAIMS AS AMENDED

	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE
TOTAL CLAIMS	16 -	20 =	0	x \$18.00	\$0.00
INDEP. CLAIMS	3 -	3 =	0	x \$86.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT					\$0.00

No additional fee is required for amendment.

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Any additional filing fees required under 37 C.F.R. 1.16.

Any patent application processing fees under 37 CFR 1.17.

*John S. Sensny*  
Signature

Dated: July 15, 2004

John S. Sensny  
Registration No. 28,757Scully, Scott, Murphy & Presser  
400 Garden City Plaza  
Garden City, New York 11530  
(516) 742-4343

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*John S. Sensny*  
Signature of Person Mailing Correspondence

*John S. Sensny*  
Typed or Printed Name of Person Mailing Correspondence

P11LARGE/REV07

**CERTIFICATE OF TRANSMISSION BY FACSIMILE (37 CFR 1.8)**

Applicant(s): Rossario Gennaro, et al.

Docket No.

YOR920000598US1 (13893)

Application No.  
09/734,086Filing Date  
December 11, 2000Examiner  
Harish T. DassGroup Art Unit  
3628

Invention: SECURE ELECTRONIC STOCKS AND OTHER TITLES AND INSTRUMENTS

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John S. Sensny

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P10/REV02

## AMENDMENT TRANSMITTAL LETTER (Large Entity)

Applicant(s): Rosario Gennaro, et al.

Docket No.

YOR920000598US1 (13893)

Application No. 09/734,086	Filing Date December 11, 2000	Examiner Harish T. Dass	Customer No. 23389	Group Art Unit 3628	Confirmation No. 3901
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Invention: SECURE ELECTRONIC STOCKS AND OTHER TITLES AND INSTRUMENTS

COMMISSIONER FOR PATENTS:

Transmitted herewith is an amendment in the above-identified application.

The fee has been calculated and is transmitted as shown below.

## CLAIMS AS AMENDED

	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE
TOTAL CLAIMS	16 -	20 =	0	x \$18.00	\$0.00
INDEP. CLAIMS	3 -	3 =	0	x \$86.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT					\$0.00

No additional fee is required for amendment.  
 Please charge Deposit Account No. \_\_\_\_\_ in the amount of \_\_\_\_\_  
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*John S. Sensny*  
Signature

Dated: July 15, 2004

John S. Sensny  
Registration No. 28,757Scully, Scott, Murphy & Presser  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**Applicant(s):** Rosario Gennaro, et al.      **Examiner:** Harish T. Dass**Serial No:** 09/734,086      **Group Art Unit:** 3628**Filed:** December 11, 2000      **Docket:** YOR920000598US1 (13893)**For:** SECURE ELECTRONIC  
STOCKS AND OTHER  
TITLES AND INSTRUMENTS      **Dated:** July 15, 2004**Confirmation No.:** 3901Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450AMENDMENT UNDER 37 C.F.R. §1.111

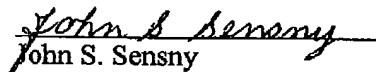
Dear Sir:

In response to the Office Action dated April 21, 2004, please amend the subject patent application as follows.

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**Dated:** July 15, 2004  
John S. Sensny